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OFFICIAL

DOCKET NO. 70681/7236

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.: 09/898,479

Applicant(s): Lamkin, Allan

Filed: July 2, 2001

Title: SYSTEM, METHOD AND ARTICLE OF
MANUFACTURE FOR A COMMON
CROSS PLATFORM FRAMEWORK
FOR DEVELOPMENT OF DVD-VIDEO
CONTENT INTEGRATED WITH ROM
CONTENT

Examiner: Faile, Andrew I.

Art Unit: 2611

Customer No.: 22242

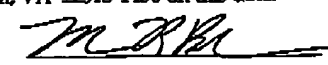
Confirm. No.: 8448

CERTIFICATE OF
TRANSMISSION/MAILING

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being facsimile transmitted to the USPTO or
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mail in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450 on this date:

5/21/04

Date


Martin R. Bader
Registration No. 54,736
Attorney for Applicant(s)

PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.115

Mail Stop Amendment
Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant requests the following amendments to the application be
entered:

05/05/2004 05:55:00 00000005 061375 03853075

05/20/2004 17:00:00
05/20/2004 17:00:00

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 07/31/2006, OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<h1 style="text-align: center;">FEE TRANSMITTAL</h1> <h2 style="text-align: center;">for FY 2004</h2> <p style="text-align: center;"><i>Effective 10/01/2003. Patent fees are subject to annual revision.</i></p>		Complete if Known		
		Application Number	09/898,479	
		Filing Date	07/02/2001	
		First Named Inventor	Lamkin, Allan	
		Examiner Name	Falle, Andrew I.	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Art Unit	2811	
TOTAL AMOUNT OF PAYMENT		(\$494.00	Attorney Docket No.	70681/7236

METHOD OF PAYMENT (check all that apply) <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account Deposit Account Number: 06-1135 Deposit Account Name: Filch, Even, Tabin & Flannery The Director is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s) <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		FEE CALCULATION (continued) 3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>1053</td> <td>130</td> <td>2053</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> <td>For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>1805</td> <td>1,940*</td> <td>1805</td> <td>1,940*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>1252</td> <td>420</td> <td>2252</td> <td>210</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>1253</td> <td>950</td> <td>2253</td> <td>475</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1254</td> <td>1,480</td> <td>2254</td> <td>740</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1255</td> <td>2,010</td> <td>2255</td> <td>1,005</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1401</td> <td>330</td> <td>2401</td> <td>165</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>1402</td> <td>330</td> <td>2402</td> <td>165</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403</td> <td>280</td> <td>2403</td> <td>145</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>1453</td> <td>1,320</td> <td>2453</td> <td>665</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>1501</td> <td>1,330</td> <td>2501</td> <td>665</td> <td>Utility issue fee (or release)</td> <td></td> </tr> <tr> <td>1502</td> <td>480</td> <td>2502</td> <td>240</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>1503</td> <td>540</td> <td>2503</td> <td>320</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>1460</td> <td>130</td> <td>1460</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>1807</td> <td>60</td> <td>1807</td> <td>60</td> <td>Processing fee under 37 CFR 1.17(a)</td> <td></td> </tr> <tr> <td>1808</td> <td>180</td> <td>1808</td> <td>180</td> <td>Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>8021</td> <td>40</td> <td>8021</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>1809</td> <td>770</td> <td>2809</td> <td>385</td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td>1810</td> <td>770</td> <td>2810</td> <td>385</td> <td>For each additional invention to be examined (37 CFR § 1.129(b))</td> <td></td> </tr> <tr> <td>1801</td> <td>770</td> <td>2801</td> <td>385</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>1802</td> <td>900</td> <td>1802</td> <td>900</td> <td>Request for expedited examination of a design application</td> <td></td> </tr> </tbody> </table>		Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1051	130	2051	65	Surcharge - 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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE <table border="1"> <thead> <tr> <th colspan="2">Total Claims</th> <th colspan="2">Extra Claims</th> <th colspan="2">Fee from below</th> <th colspan="2">Fee Paid</th> </tr> <tr> <th>Code</th> <th>Fee (\$)</th> <th>Code</th> <th>Fee (\$)</th> <th>Code</th> <th>Fee (\$)</th> <th>Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>60</td> <td>23**</td> <td>31</td> <td>9.00</td> <td>X</td> <td>9.00</td> <td>=</td> <td>278.00</td> </tr> <tr> <td>17</td> <td>2**</td> <td>5</td> <td>43.00</td> <td>X</td> <td>43.00</td> <td>=</td> <td>216.00</td> </tr> </tbody> </table>		Total Claims		Extra Claims		Fee from below		Fee Paid		Code	Fee (\$)	Code	Fee (\$)	Code	Fee (\$)	Code	Fee (\$)	60	23**	31	9.00	X	9.00	=	278.00	17	2**	5	43.00	X	43.00	=	216.00								
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3. SUBTOTAL (1) (\$00.00)																																									
4. SUBTOTAL (2) (\$494.00)																																									

SUBMITTED BY Name (Print/Type): Martin R. Bader Signature:		Complete (if applicable) Registration No. (Attorney/Agent): 54,736 Telephone: 858-552-1311 Date: 5/21/04	
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/898,479	
	Filing Date	07/02/2001	
	First Named Inventor	Lamkin, Allan	
	Art Unit	2611	
	Examiner Name	Faile, Andrew I.	
Total Number of Pages in This Submission	18	Attorney Docket Number	70681/7236

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Fax Cover Sheet
REMARKS: - Preliminary Amendment Under 37 C.F.R. § 1.115		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Individual name	Martin R. Bader, Reg. No. 54,736	
Signature		
Date	May 21, 2004	

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			May 21, 2004
Typed or printed name	Martin R. Bader		
Signature		Date	May 21, 2004

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Today's Date: May 21, 2004
From: Martin R. Bader, Reg. No. 54,736
Application No.: 09/898,479
Filing Date: 07/02/2001
Inventor: Lamkin, Allan
Group Art Unit: 2611
Examiner: Faile, Andrew I.
Customer No. 22242
Confirmation No. 8448

Attorney Docket No.: 70681/7236
Pages: Eighteen (18) (including this 1-page coversheet)
Dispatched by: Martin R. Bader

Transmitted herewith via facsimile:

Transmittal (1 pg.); Fee Transmittal (1 pg.); Preliminary Amendment
Under 37 C.F.R. § 1.115 (15 pgs.); Fax Cover Sheet (1 pg.)

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